

Thursday, February 25, 2010

9:00 : Meeting with Larry Zimbleman, Chief Executive Officer, Principal Financial
10:00 : Group; James McCaughan, Chief Executive Officer, Principal Global Investors,
LLC; and Jeffrey Hiller, Chief Compliance Officer, Principal Global Investors, LLC

10:45 am Meeting with staff

11:00 am Meeting with Richard Brueckner, Chairman, Pershing LLC

11:29 am Phone call with Under Secretary Jeffrey Goldstein and Lee Sachs, Counselor to
the Secretary, Department of the Treasury

1:00 pm Meeting with staff

1:30 pm Closed Commission meeting

4:15 pm Meeting with Congressman Jose Serrano (D-NY), Chairman, U.S. House
Subcommittee on Financial Services and General Government

Friday, February 26, 2010

9:00 am Meeting with staff

10:30 am Meeting with Senator Susan Collins (R-ME), Ranking Member, U.S. Senate
Subcommittee on Financial Services and General Government

12:00 pm Meeting with staff

2:30 pm Meeting with staff

3:30 pm Meeting with staff

4:00 pm Meeting with Commissioner

4:30 pm Meeting with Commissioner and staff